



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD ENDING ON 30<sup>th</sup> SEPTEMBER 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June 2018 and vide its press release dated 3<sup>rd</sup> December 2018, amended Regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements ) Regulations , 2015 and has mandated to transfer securities in dematerialized form w.e.f 1<sup>st</sup> April 2019.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January 2022 has mandated that the companies shall effect issuance of certificates of receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal /Exchange of securities certificate, Endorsement, Sub division /Splitting of securities certificate, Consolidation of Securities certificates /folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **M/s. HINDUSTHAN TEA & TRADING CO LTD** (CIN – L51226WB1954PLC022034), I would like to inform that the Company has appointed Registrar and Share Transfer Agents (RTA) M/s. S. K. Infosolutions Pvt. Ltd., Registrar and Share Transfer Agents (RTA) at D/42, Katju Nagar, Kolkata – 700 032 and the Company is in process of transferring all data to RTA Further, pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2023 to 30<sup>th</sup> September, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015. I hereby certify that during the half year ended on 30<sup>th</sup> September 2023

- A) There were no transfer and /or transmission requests received by the Company from the shareholders of the Company.
- B) There were no requests for sub-division, consolidation, duplicate , replacement, renewal, exchange, split, or endorsement of calls/allotment monies received by the Company.
- C) There were no request for remat received by the Company .

**For SB Sheth & Associates**

**Shiksha Bhavik Sheth**  
**Practising Company Secretary**  
**M No –A28387**  
**C. P. No-15781**  
**Peer Review Certificate No – 2454/2022**  
**UDIN- A028387E001363820**  
**Date : 18.10.2023**